

# Letter of Explanation for U.S. Mailing Address/ Phone Number for Form W-8



### Return Options:

Electronically via Message Center:

Log in and go to Client Services >  
Message Center to attach the file

### Regular Mail:

PO Box 2760, Omaha, NE 68103-2760

### Overnight Mail:

200 South 108th Avenue  
Omaha, NE 68154-2631

Fax: 866-468-6268

Account #: \_\_\_\_\_

First Name: \_\_\_\_\_ Middle Name: \_\_\_\_\_

Last Name: \_\_\_\_\_

**Note: The mailing address on your account may not belong to a Registered Investment or Financial Advisor.**

### Please complete one of the three sections below.

If you claimed a treaty on your W-8BEN, you will also need to certify your claim in the Treaty section below.

I, \_\_\_\_\_, certify that I am a non-U.S. person as defined for U.S. tax purposes. I have a U.S. address/U.S. phone number for one of the below reason(s):

#### Residing in the U.S.

I certify that I am one of the following:

- (1) A student at a U.S. educational institution and hold the appropriate visa.
- (2) A teacher, trainee, or intern at a U.S. educational institution or a participant in an educational or cultural exchange visitor program and hold the appropriate visa.
- (3) A foreign individual assigned to a diplomatic post or a position in a consulate, embassy, or international organization in the U.S.
- (4) A spouse -or- unmarried child of one of the persons described in (1), (2), or (3).

#### Temporarily in the U.S.

I certify that I **do not** qualify as a U.S. resident under the substantial presence test. Based on the substantial presence test calculation for the current year and the previous two years, I have been in the U.S. for \_\_\_\_\_ days.

#### Closer Connection to a Foreign Country

I certify that I meet the closer connection exception to the substantial presence test because I meet all of the following criteria:

- I have been present in the United States for fewer than 183 days in the current year;
- I maintain a tax home in the country of \_\_\_\_\_ during the current year; and
- I have a closer connection during the current year to the above foreign (non-U.S.) country because

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

### Claiming a Treaty

I certify that I am treated as a resident of \_\_\_\_\_ for purposes of the income tax treaty between it and the U.S. and am not treated as a U.S. resident or citizen for purposes of that income tax treaty.

**I have provided, or will provide, TD Ameritrade, Inc. with any other documentation requested to support my claim of non-U.S. status and/or tax treaty benefits and will refresh such documentation upon request. I also acknowledge that: (1) I must advise TD Ameritrade, Inc. if I become a U.S. citizen, U.S. resident, or other U.S. person for U.S. tax law purposes; and (2) such change in my U.S. tax status will impact on the U.S. tax treatment of my income from U.S. investments, which are held by TD Ameritrade, Inc.**

**Note: Each account owner must complete and sign a separate form.**

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**Sign Here**

**X**

\_\_\_\_-\_\_\_\_-\_\_\_\_

Investment Products: Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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